

Optimal transport-based machine learning to

match specific expression patterns in omics data

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Huntington's disease (HD)

- HD is a progressive brain disorder that causes uncontrolled movements, emotional problems, and loss of thinking ability (cognition).
- HD is caused by the HTT gene's mutation.
	- \blacksquare In normal people, the HTT gene contains a triple CAG repeat about 10-35 times.
	- In people with HD, this repeat goes on for 36 or more times.

Onset of disease occurs earlier and deterioration is faster with higher number of CAG repeat.

HD leads to neuronal cell death.

Figure: CAG repeat expansions in HD. Source: [California's Stem Cell](https://blog.cirm.ca.gov/2017/03/20/stem-cells-reveal-developmental-defects-in-huntingtons-disease/) [Agency.](https://blog.cirm.ca.gov/2017/03/20/stem-cells-reveal-developmental-defects-in-huntingtons-disease/)

Micro RNAs (miRNAs) and messenger RNAs (mRNAs)

- mRNAs are necessary for translating the genetic information into proteins.
- miRNAs are able to turn off genes by inactivating mRNAs.
- A miRNA is complementary to a part of one or more mRNAs, that promotes cleavage or destroy them.
- The miRNAs and their target-mRNAs have a many-to-many mirroring relationship \rightarrow We will use this property.

Figure: Mechanism of miRNA action. MiRNA can bind to specific regions of target mRNA transcripts and destabilizes the target transcript and/or blocks its translation. Source: [\[11\]](#page-18-0).

Experiment and data

- **Striatum of knock-in HD mice.**
- \bullet Intervention on polyQ (CAG) length, one of $\{20, 80, 92, 111, 140, 175\}$.
- Time of evaluation of miRNA and mRNA expressions (log-fold change), either 2, 6 or 10 months.
- Results in $M = 13,616$ (mRNA) and $N = 1,143$ (miRNA) profiles (data points) in \mathbb{R}^{15} .

Figure: Left: profile y_n of a miRNA (Mir20b). Right: profile x_m of a mRNA (Ahrr). It is believed that Mir20b targets Ahrr.

Objective

Finding couples (miRNA, mRNA) that "collaborate"

Based on the profiles $\{x_1, \ldots, x_M\}$ (mRNA profiles) and $\{y_1, \ldots, y_N\}$ (miRNA profiles), we wish to identify collections $\{(x_m, y_n) : (m, n) \in S\}$ gathering mRNAs and miRNAs that "collaborate".

- An *ideal* match between a mRNA and a miRNA would consist of two profiles that display a perfect mirroring relationship: $y_n = -x_m$.
- We will relax this very strong biological hypothesis and consider loosened relationships $y \approx \theta(x)$ for a transformation $\theta \in \Theta$, where Θ is a parametric set containing $-i\mathbf{d}$.

• Illustration: profiles of two mRNA and miRNA which are believed to collaborate

Mr20

 υ

Figure: Profile of Mir20b (miRNA) Figure: Profile of Ahrr (mRNA)

- We develop a procedure called WTOT-matching to find collections $\{(x_m, y_n) : (m, n) \in S\}$ of mRNAs and miRNAs that "collaborate".
- The procedure unfolds in two steps:

WTOT-...: consists in constructing a *similarity matrix* between mRNAs and miRNAs

- \blacksquare we define the similarity matrix as an optimal coupling matrix;
- we operationalize the search of mirroring relationships.

...-matching: consists in deriving several sets of matched elements from the similarity matrix.

Modicum of optimal transport $(1/2)$

- Let $X := \{x_1, \ldots, x_M\} \subset \mathbb{R}^d$ and $Y := \{y_1, \ldots, y_N\} \subset \mathbb{R}^d$ be two data sets.
- For any $\omega\in\Omega_{M}:=\{o\in(\mathbb{R}_{+})^{M}:\;\operatorname{st}\,\|o\|_{1}=1\}$ and $\omega'\in\Omega_{N},$ define

$$
\mu_X^{\omega} = \sum_{m \in [\![M]\!]} \omega_m \delta_{x_m}, \qquad \nu_Y^{\omega'} = \sum_{n \in [\![N]\!]} \omega'_n \delta_{y_n}.
$$

- The measures μ_X^{ω} and $\mu_Y^{\omega'}$ represent the two data sets.
- **Each** x_m is given a weight ω_m .
- Each y_n is given a weight ω'_n .
- The optimal transport (OT) matrix is defined as any element of

 $\argmin_{P \in \Pi(\omega, \omega')} \langle C_{X,Y}, P \rangle_F,$

where

- $\Pi(\omega,\omega')$ is the set of $P\in(\mathbb{R}_+)^{M\times N}$ such that $P\, 1_N=\omega$ and $P^\top \, 1_M=\omega'$;
- $C_{X,Y} \in \mathbb{R}^{M \times N}$ is a cost matrix given by $(C_{X,Y})_{mn} := c(x_m, y_n)$ for some cost function $c: \mathbb{R}^d \times \mathbb{R}^d \to \mathbb{R}_+$;
- $\langle C_{X,Y}, P \rangle_F := \sum_{(m,n) \in [\![M]\!] \times [\![N]\!] } (C_{X,Y})_{mn} P_{mn}$
- **•** Computing the arg min is difficult and slow (and unicity is not guaranteed).

Modicum of optimal transport (2/2)

• Focus on entropic-regularized \overline{OT} : for any $\gamma > 0$,

$$
\mathcal{W}_{\gamma}\left(\mu_{X}^{\omega}, \nu_{Y}^{\omega'}\right) = \min_{P \in \Pi(\omega, \omega')} \left\{ \langle C_{X,Y}, P \rangle_{F} - \gamma E(P) \right\}
$$

where $E(P) = -\sum_{(m,n)\in \llbracket M \rrbracket \times \llbracket N \rrbracket} P_{mn}(\log P_{mn} - 1)$. Gain?

- unique minimizer;
- computing the arg min is much easier (Sinkhorn's algorithm).
- **Introduce the Sinkhorn loss:**

$$
\bar{\mathcal{W}}_\gamma(\mu_X^\omega,\nu_Y^\omega'):=2\mathcal{W}_\gamma(\mu_X^\omega,\nu_Y^\omega')-\mathcal{W}_\gamma(\mu_X^\omega,\mu_X^\omega)-\mathcal{W}_\gamma(\nu_Y^{\omega'},\nu_Y^{\omega'})
$$

Gain?

- non-negative, symmetric, convex;
- metrizes convergence of measures:
- unbiased gradient estimates;
- interpolates between OT (its nice geometry) and Maximum Mean Discrepancy (its favorable high-dimensional sample complexity $+$ sensitivity to differences in both location and shape of distributions).

WTOT-matching: WTOT-... (1/4)

a Introduce

$$
\Theta:=\left\{\theta:\mathbb{R}^d\to\mathbb{R}^d,x\mapsto\theta(x)=\theta_1x+\theta_2,\theta_1\in\mathcal{T}_1\subset\mathbb{R}^{d\times d},\theta_2\in\mathbb{R}^d\right\},\
$$

where

- the matrices θ_1 are constrained;
- \blacksquare in particular, their diagonals are made of negative values (\sim mirroring relationship);
- $-id \in \Theta$.
- For all $\omega \in \Omega_M$, $\theta \in \Theta$, define

$$
\mu_{\theta(X)}^{\omega} = \sum_{m \in [\![M]\!]} \omega_m \delta_{\theta(X_m)}, \qquad \nu_Y = \frac{1}{N} \sum_{n \in [\![M]\!]} \delta_{Y_n}.
$$

Our master program is

$$
\min_{\omega \in \Omega} \min_{\theta \in \Theta} \bar{\mathcal{W}}_{\gamma} \left(\mu^{\omega}_{\theta(X)}, \nu_Y \right), \tag{1}
$$

where we are interested in the minimizer $(\hat{\omega}, \hat{\theta})$ and in the optimal matrix $\hat{P} \in \Pi(\hat{\omega}, N^{-1} 1_N)$ solving

$$
\min_{P \in \Pi(\hat{\omega}, N^{-1} 1_N)} \left\{ \langle C_{\hat{\theta}(X), Y}, P \rangle_F - \gamma E(P) \right\}.
$$

WTOT-matching: WTOT-... (2/4)

- We propose to solve (\Box) by iteratively updating ω and then θ .
- Given a kernel φ (standard normal density):
	- sample $\theta^{(0)}$ in $\Theta;$
	- **■** iteratively for $0 \leq \tau < T$,
		- 1. define $\omega^{(\tau)} \in \Omega_M$ such that $\omega_m^{(\tau)} \propto \nu_Y \varphi\left(\frac{:-\theta^{(\tau)}(x_m)}{h}\right)$ (all $m \in [\![M]\!]$);
		- 2. solve $\theta^{(\tau+1)} \in \argmin_{\theta \in \Theta} \bar{\mathcal{W}}_{\gamma}\left(\mu_{\theta(X)}^{\omega(\tau)}, \nu_Y\right)$.
- Then we retrieve the corresponding OT matrix \hat{P} that solves

$$
\mathcal{W}_{\gamma}\left(\mu_{\theta(T)(X)}^{\omega(T)}, \nu_Y\right) = \min_{P \in \Pi(\omega^{(T)}, N^{-1}1_N)} \left\{ \langle C_{\theta(T)(X), Y}, P \rangle_F - \gamma E(P) \right\}.
$$

- Comments:
	- use of mini-batches in step 2;
	- $\theta^{(\mathcal{T})}:\mathbb{R}^d\rightarrow\mathbb{R}^d$ models to relax the mirroring relationships;
	- \hat{P}_{mn} can be interpreted as a similarity between x_m and y_n ;
	- $\omega^{(\mathcal{T})}$: weights to operationalize the many-to-many relationships.

WTOT-matching: ...-matching (3/4)

- Fix two integers $k,k'\geq 1$, let $\hat{\tau}$ be the quantile of order q of all the entries of \hat{P} .
- For every $m \in \llbracket M \rrbracket$ and $n \in \llbracket N \rrbracket$

$$
\mathcal{N}_m^0 := \left\{ n \in [\![M]\!]: \hat{P}_{mn} \in \{\hat{P}_{m(1)}, \ldots, \hat{P}_{m(k)}\} \text{ and } \hat{P}_{mn} \geq \hat{\tau} \right\},\newline \mathcal{M}_n^0 := \left\{ m \in [\![M]\!]: \hat{P}_{mn} \in \{\hat{P}_{(1)n}, \ldots, \hat{P}_{(k')n}\} \text{ and } \hat{P}_{mn} \geq \hat{\tau} \right\}.
$$

• Define the most relevant matches

$$
\mathcal{R} := \left\{ (m, n) \in [\![M]\!] \times [\![N]\!] : n \in \mathcal{N}_m^0 \text{ and } m \in \mathcal{M}_n^0 \right\}.
$$

WTOT-matching: code (4/4)

Code written in python and available on [this webpage.](https://github.com/yen-nguyen-thi-thanh/wtot_coclust_match)

- A [tutorial](https://github.com/yen-nguyen-thi-thanh/wtot_coclust_match/blob/main/WTOT_MC_demo.ipynb) is made available to show how simple it is to run the code.
- We adapt the Sinkhorn algorithm implemented by Aude Genevay and available [here.](https://github.com/audeg/Sinkhorn-GAN/blob/master/sinkhorn.py)
- The stochastic gradient descents relies on the machine learning framework pytorch.

Real data application $(1/4)$

- We choose $k = k' = 10$, $q = 90\%$.
- **•** Some facts:
	- we obtain 4234 non-empty \mathcal{N}_m s and 1043 non-empty \mathcal{M}_n s; $\frac{\sum_{m\in \llbracket M\rrbracket\text{ card}(\mathcal{N}_m)}{\{m\in \llbracket M\rrbracket: \mathcal{N}_m\neq \emptyset\}} \approx 1.82,~\frac{\sum_{n\in \llbracket N\rrbracket\text{ card}(\mathcal{M}_n)}{\{n\in \llbracket N\rrbracket: \mathcal{M}_n\neq \emptyset\}} \approx 6.04.$
- Our findings and their analysis are shared on [this website.](http://www.broca.inserm.fr/WTOT)

Real data application: example of "monotonic" profiles (2/4)

Figure: Top: profile y_n of Mir20b (left) and $-y_n$ (right). Bottom: profiles x_m ($m \in \mathcal{M}_n$) of the matched mRNAs Ahrr, Relb and Cnih3.

Real data application: example of "peaked" profiles (3/4)

Figure: Top: profile y_n of Mir539 (left) and $-y_n$ (right). Bottom: profiles x_m ($m \in \mathcal{M}_n$) of the matched mRNAs Dnah9, Dnali1, Dyrk3, Otof.

Real data application: biological analysis of the results $(4/4)$

- A biological analysis is conducted to identify the more relevant pairs.
- A pair (x, y) is retained if and only if the mRNA whose profile is x and the miRNA whose profile is y are both among the 27,355 mRNAs and 1,478 miRNAs appearing in the TargetScan [\[5\]](#page-17-0), MicroCosm [\[1\]](#page-17-1) and miRDB [\[3\]](#page-17-2) databases.
- The enrichment analysis reveals that the matchings output by WTOT-matching are
	- 1. primarily annotated for extracellular matrix organization, which relates to cell identity (due to the matchings labeled as neither peaked nor monotonic);
	- 2. secondarily annotated for *mitigation of host antiviral defense response* (due to the matchings labeled as monotonic), and for *conventional motile cilium* (due to the matchings labeled as peaked).

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Thanks for your attention!

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